

ESP - Electronic Submission Portal

powered by **GLOBETAX**

ESP

ESP - **Electronic Submission Portal** is a service, powered by GlobeTax, enabling Banks and Brokers to submit electronic ADR withholding tax reclaims in Germany, Spain and the Netherlands. This service is a free enhancement of GlobeTax's existing tax reclaim process.

Entity Type

US Charitable Entities

US Pensions

ALL US Beneficial Owners

ALL US Beneficial Owners

US/Non US Entities

ALL US Beneficial Owners

Non-US Entities

Availability-Netherlands

All dividends

All dividends

All dividends paid before January 1, 2007

Availability- Spain

Select dividends

Preferential shares: Santander Finance PFS

SA Unipersonal

Availability- Germany

All 2009 dividends

All 2009 dividends

Features

Banks and Brokers:

– **The Electronic Submission Portal** automatically generates all basic required documentation (excluding IRS Form 6166).

– The system is paperless for the Netherlands and select sponsored German issues.

For Dutch claims:

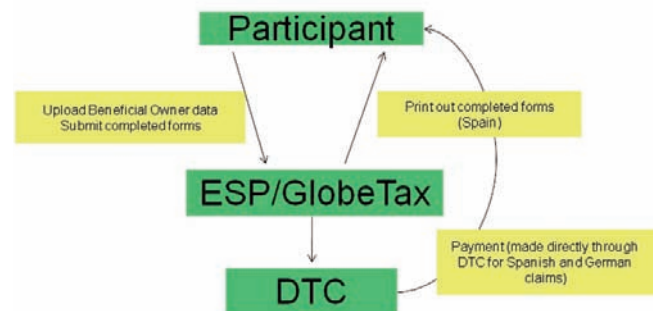
– It is no longer necessary to save information to a floppy disk or CD-ROM, fill in shipping data, or pay to ship hard-copy documentation.

For German claims:

– No need for users to sign up for German DTV process to submit reclaims.
– Payments made through DTC

For Spanish claims:

– ESP will generate the required annex and/or cover letter in Spanish, along with the list of beneficial owners.



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London
+44 (0)20 7268 3962

Milan
+39 (0)2 6467 2678

Sydney
+ 61 (0)2 8667 3133



Access

The interface has two options:

Option A:

Manual entry option - A maximum of 20 beneficial owners can be entered at a time using this option.

Option B

Excel upload option - With this option, the user can download an Excel Template, copy and paste the necessary beneficial owner information into the Template, then save and upload the file for processing

For both options, past entries and documents will be stored in the system for viewing or reprint.

Service

ESP is offered as a free enhancement to the existing reclaim processes supported by the Depository Banks. The same fees that apply to current hard-copy submissions to the Depository Banks will be maintained for claims filed through ESP. There is no additional charge for using the ESP system.